Reaction of Superoxide with Aci-Reductones

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 $Three\ reductones, 2, 3-dihydroxy-4, 4-diphenyl-2, 5-cyclohexadien-1-one\ (11),\ 3, 4-dihydroxy coumarin and the second second$ (35), and 3,4-dihydroxyspiro[5.5]undecan-3-en-4-one (64), were prepared and subsequently reacted with superoxide anion radical $(O_2^{\bullet-})$, generated from KO₂ and 18-crown-6 polyether. The reactions were carried out in aprotic media and quenched with methyl iodide which facilitates the trapping of the various oxyanions formed. While a plethora of products were formed in each case [2-hydroxy-2-methyl-4,4-diphenyl-5-cyclohexene-1,3-dione (17), dimethyl 4,4-diphenylglutaconate (18), methyl 4.4-diphenyl-3-butenoate (19), phenylcinnamaldehyde (20), methyl 3-phenylcinnamate (21), and benzophenone (22) from 11; 3-hydroxy-2-methoxycoumarin (39), 2-carbomethoxy-2-hydroxy-3coumaranone (40), 2-hydroxy-2-methyl-3-coumaranone (41), methyl o-hydroxyphenylglyoxylate (42), methyl salicylate (43), and catechol (44) from 35; and 2,4-dihydroxyspiro[5.5]undeca-1,4-dien-3one (66), 2-hydroxyspiro[4.5]dec-1-en-3-one (70), dimethyl 1,1-cyclohexanediacetate (73), and dimethyl α -keto-1-[(methoxycarbonyl)methyl]cyclohexane-1-propionate) (75) from 64], an overall analysis of the product distribution indicates that the basic elements of the reaction sequence are the same. The first step involves facile deprotonation and the concomitant generation of the reductone monoanion, a process which lends support to the suggestion of Afanas'ev and co-workers (Afanas'ev, I. B.; Grabovetskii, V. V.; Kuprianova, N. S. J. Chem. Soc. Perkin Trans. 2 1987, 281-285). Oxidation of this monoanion yields the corresponding triketone. Of the various options available to this polyketone, superoxide attack at the most electrophilic central carbonyl followed by oxidative cleavage and/or benzylic acid rearrangement are clearly the most prominent. These are followed by a variety of base catalyzed autoxidative processes which are highly dependent on the nature of the substrate.

Introduction

Both the biology¹⁻³ and chemistry⁴ of superoxide anion radical, O2.-, has been extensively examined and discussed over the past two decades. Our interest in the interaction of O2. with compounds of biochemical importance lead us to take a second look at vitamin C (ascorbic acid, AH_2 in eq 1), one of the many natural antioxidants which protect the cell against oxidative and/ or free radical damage. From a functional group perspective, ascorbic acid can be viewed as a lactone, an enone, an α -keto enol, and a β -keto enol-and over the past twenty years we have had an opportunity to systematically explore the reaction of superoxide with each of these moieties.5-7



However, perhaps the most significant fact about ascorbic acid is that it is an aci-reductone (1-oxo 2-ene-2,3-diol, 1, eq 2),⁸ containing all these moieties simultaneously. Aci-reductones exist in several tautomeric forms (1-5) and generally undergo oxidation to the corresponding triketones 6 or the related hydration product 7. The facility of these oxidations depends to a large extent on the nature of the 1-oxo group: alkyl- and arylcarbonyl enediols [1 (\mathbb{R}^1 and \mathbb{R}^2 = alkyl or aryl); eq 2] generally autoxidize rapidly under ambient conditions and must be stored at low temperature under inert gas; oxycarbonyl enediols [1 (R^1 or $R^2 = OR'$ or OAr); e.g., ascorbic acid], on the other hand, have a relatively long shelf-life under ambient conditions.^{4d}

^{*} Abstract published in Advance ACS Abstracts, June 15, 1995.

^{(1) (}a) Halliwell, B. In Age Pigments, Sohal, R. S., Ed.; Elsevier/ North-Holland Biomedical Press: Amsterdam 1981; pp 1-62. (b) Kehrer, J. P. Crit. Rev. Toxicol. 1993, 23, 21-48.

⁽²⁾ See for example the collection of articles in Superoxide Dismutase; Oberley, L. W., Ed.; Chemical Rubber Co.: Boca Raton, FL; Vols. I and II, 1982; Vol. III, 1985.

^{(3) (}a) See the articles of I. Fridovich and J. Fee and the subsequent extensive discussion in Oxygen and OxyActicals in Chemistry and Biology; Rodgers, M. A. J., Powers, E. L. Eds.; Academic Press: New York, 1981; pp. 197-239. (b) See the exchange of correspondence between Fridovich and Sawyer and Valentine: Fridovich, I.; Sawyer, D. T.; Valentine, J. S. Acc. Chem. Res, 1982, 15, 200. (c) Baum, R. M. Chem. Eng. News, April 9, 1984, 20-26 and subsequent letters to the editor in the June 4th and July 2nd issues.

⁽⁴⁾ For extensive reviews of the organic chemistry of O₂⁻⁻ see: (a) Frimer, A. A. In Superoxide Dismutase; Oberley, L. W., Ed.; Chemical Rubber Co.: Boca Raton, FL, 1982; Vol. II, p 83-125. (b) Frimer, A. A. In The Chemistry of Peroxides; Patai, S., Ed.; Wiley: Chichester, 1983; pp 429-461. (c) Roberts, J. L., Jr.; Sawyer, D. T. Isr. J. Chem., 1983, 23, 430-438. (d) Frimer, A. A. In The Chemistry of Enones. Part 2; Patai, S., Rappoport, Z., Eds.; Wiley: Chichester, 1989; pp 781-291. 921. Please note that the structure assignments of compounds 607 and 608 of Scheme 26 of this review as well as the absence of catechol have been corrected in Scheme 2 of the present paper. (e) Reference 10c.

^{(5) (}a) Rosenthal, I.; Frimer, A. A. Tetrahedron Lett. **1975**, 3731– 3732. (b) Rosenthal, I.; Frimer, A. A. Tetrahedron Lett. **1976**, 2805– 2808. (c) Frimer, A. A.; Rosenthal, I. Radicaux Libres Org., Colloq. [Collog. Int. C.N.R.S.) 1978, 278, 309-312. (d) Frimer, A. A.; Gilinsky,
 P. Tetrahedron Lett. 1979, 4331-4334. (e) Frimer, A. A.; Gilinsky-Sharon, P.; Hameiri, J.; Aljadeff, G. J. Org. Chem. 1982, 47, 2812-2819. (f) Frimer, A. A.; Hameiri-Buch, J.; Ripshtos S.; Gilinsky-Sharon,

^{2819. (}t) Frimer, A. A.; Hameiri-Buch, J.; Ripshtos S.; Gilinsky-Sharon, P. Tetrahedron 1986, 42, 5693-5706. (g) Frimer, A. A.; Gilinsky-Sharon P.; Aljadeff, G.; Gottlieb, H. E.; Hameiri-Buch, J.; Marks, V.; Philosof, R.; Rosental, Z. J. Org. Chem. 1989, 54, 4853-4866.
(6) (a)Frimer, A. A.; Gilinsky-Sharon, P. Tetrahedron Lett 1982, 23, 1301-1304. (b) Frimer, A. A.; Aljadeff, G.; Gilinsky-Sharon P. Isr. J. Chem., 1986, 27, 39-44. (c) Frimer, A. A.; Gilinsky-Sharon P.; Aljadeff, G.; Marks, V.; Rosental, Z. J. Org. Chem. 1989, 54, 4866-4872.
(7) Frimer, A. A.; Marks, V.; Gilinsky-Sharon P. Free Rad. Res. Commun. 1991, 12-13, 93-98.
(8) (a) Schapt K. Synthesis 1972, 176-190. (b) Hesse G. In Houhen-

^{(8) (}a) Schank, K. Synthesis 1972, 176-190. (b) Hesse, G. In Houben-Weyl: Methoden der Organischen Chemie; Kropf, H., Hesse, G., Eds; Verlag: Stuttgart, 1978; Vol. VI/1d, pp 217-298.



Two groups have explored the oxidation of ascorbic acid (AH_2) to dehydroascorbic acid (A) in aprotic media mediated by electrogenerated superoxide. Sawyer and co-workers⁹ find that $O_2^{\bullet-}$ mediates this process in DMF with the formation of the ascorbate anion radical $(A^{\bullet-})$ and without the generation of molecular oxygen. This latter observation seems to rule out a simple proton abstraction (eq 3). For were such a mode of action by superoxide involved, it would have resulted in the generation of the hydroperoxy radical and the concomitant formation of molecular oxygen (eq 4).

$$O_2^{-\bullet} + AH_2 \rightarrow HO_2^{\bullet} + AH^-$$
(3)

$$\mathrm{HO}_{2}^{\bullet} + \mathrm{O}_{2}^{-\bullet} \rightarrow \mathrm{HO}_{2}^{-} + \mathrm{O}_{2} \tag{4}$$

As a result of these and related observations, Sawyer has suggested that the initial rate determining step is a concerted (eq 5) or rapid sequential (eq 6) transfer of a

$$A \xrightarrow{H} O_2^{-} \longrightarrow A^{-} + H_2O_2 \qquad (5)$$

$$A \xrightarrow{H} O_2^{-} \longrightarrow A^{-} + HOO^{-} \longrightarrow A^{-} + H_2O_2 \qquad (6)$$

4

proton and a hydrogen atom to superoxide generating ascorbate anion radical $(A^{\bullet-})$ and H_2O_2 . Subsequent reactions involve the proton-induced disproportionation of $A^{\bullet-}$ (eq 7) and oxidation of the resulting ascorbate anion (HA^{-}) by H_2O_2 to A (eq 8).

$$2A^{-\bullet} + H_2A \rightarrow A + 2HA^{-}$$
(7)

$$\mathrm{HA}^{-} + \mathrm{H}_{2}\mathrm{O}_{2} \rightarrow \mathrm{A} + \mathrm{H}_{2}\mathrm{O}_{2} + \mathrm{HO}^{-} \tag{8}$$

Afanas'ev and colleagues¹⁰ have taken issue with Sawyer's mechanism. They observe the formation of only a 50-70% yield of HA⁻ when the same reaction is carried out in acetonitrile. The Russian group posits that such a high yield of ascorbate anion can only be explained by a simple deprotonation of H₂A by O₂^{•-} and believe, therefore, that proton abstraction (eq 3) is the main if not sole pathway of interaction between ascorbic acid and superoxide. The Russian team suggests that any oxygen formed via disproportionation (eq 4) is rapidly converted back to $O_2^{\bullet-}$ upon interaction with ascorbate (eq 9). However, disproportionation is prevented by a series of rapid competing processes (eqs 10-13).

$$\mathbf{A}\mathbf{H}^{-} + \mathbf{O}_{2} \rightarrow \mathbf{A}\mathbf{H}^{\bullet} + \mathbf{O}_{2}^{-\bullet}$$
(9)

$$HO_2^{\bullet} + AH_2 \rightarrow H_2O_2 + AH^{\bullet}$$
 (10)

$$\mathrm{HO}_{2}^{\bullet} + \mathrm{AH}^{-} \rightarrow \mathrm{H}_{2}\mathrm{O}_{2} + \mathrm{A}^{-\bullet}$$
(11)

$$\mathrm{HO}_{2}^{\bullet} + \mathrm{AH}^{\bullet} \rightarrow \mathrm{H}_{2}\mathrm{O}_{2} + \mathrm{A} \tag{12}$$

$$\mathrm{HO}_{2}^{\bullet} + \mathrm{A}^{-\bullet} \rightarrow \mathrm{HO}_{2}^{-} + \mathrm{A}$$
 (13)

Three separate solvent-dependent considerations have been suggested in an attempt to resolve the conflicting data:⁷ (1) Firstly, the discrepancy may result from the substantial viscosity difference between the solvents used by the U.S. and Russian research teams, DMF (0.802 cp at 25 °C)^{11a} and acetonitrile (0.345 cp),^{11b,c} respectively. As the viscosity increases, so does the likelihood of solvent cage reactions, such as eq 6. With less viscous solvents, such as acetonitrile, HA⁻ may well escape the cage before being oxidized by HOO[•]. (2) Alternatively, the pK_a of the substrate is expected to differ in these two solvents, and this may well have an affect on the mode of action of $O_2^{\bullet-}$. (3) Finally, Sawyer and co-workers^{9d,e} have found that, because CH₃CN is difficult to dry, all their attempts to electrochemically prepare superoxide in this solvent generally result in the generation of high yields of hydroxide in addition to the desired superoxide; hence, HO^- , not O_2^{-} , may be the active species in Afanas'ev's system.

With the exception of ascorbic acid itself, there have been to our knowledge no other aci-reductones whose interaction with $O_2^{\bullet-}$ has been studied. We report herein on the results of our exploration into the superoxide chemistry of aci-reductones in general, and those of reductones 11, 35, and 64 in particular.

Results and Discussion

A. Synthesis and Reaction of 2,3-Dihydroxy-4,4diphenyl-2,5-cyclohexadien-1-one (11) with Superoxide. 1. Synthesis of Reductone 11: The new reductone 11 was prepared as outlined in eq 14. Superoxide-mediated base-catalyzed autoxidation of 4,4-diphenyl-2-cyclohexen-1-one¹² followed by methyl iodide workup yielded methoxy dienone 9.5^{g} Reaction of the latter with buffered *m*-CPBA¹³ for 7 days generated epoxy

^{(9) (}a) Sawyer, D. T.; Calderwood, T. S.; Johlman, C. L.; Wilkins, C. L. J. Org. Chem. **1985**, 50, 1409–1412. (b) Sawyer, D. T.; Chiericato, G. Jr.; Tsuchiya, T. J. Am. Chem. Soc. **1982**, 104, 6273–6278. (c) Nanni, E. J., Jr.; Stallings, M. D.; Sawyer, D. T. J. Am. Chem. Soc. **1980**, 102, 4481–4485. (d) Yamagichi, K.; Calderwood, T. S.; Sawyer, D. T. Inorg. Chem. **1986**, 25, 1289–1290. (e) Professor Sawyer has communicated to us (1989) that the yields of HO⁻ in the attempted electrogeneration of $O_2^{\bullet-}$ can reach as high as 50%.

^{(10) (}a) Afanas'ev, I. B.; Grabovetskii, V. V.; Kuprianova, N. S. J. Chem. Soc. Perkin Trans. 2 1987, 281–285 and references cited therein. (b) Afanas'ev, I. B.; Grabovetskii, V. V.; Kuprianova, N. S.; Gunar, V. I. In Superoxide and Superoxide Dismutase in Chemistry, Biology and Medicine; Rotilio, G., Ed.; Elsevier: Amsterdam, 1986; pp 50–52. (c) Afanas'ev, I. B. Superoxide Ion: Chemistry and Biological Implications, Volume II; Chemical Rubber Co.: Boca Raton, FL, 1989; p 225ff.

^{(11) (}a) Du Pont Dimethylformamide, E. I. du Pont de Nemours, Wilmington, p 3. (b) Handbook of Chemistry and Physics; Weast, R. C., Ed.; Chemical Rubber Co.: Boca Raton, FL, 1971; p F-37. (c) Matsuda, Y. In Practical Lithium Batteries; Matsuda, Y., Schlaikjer, C. R., Ed.; JEC Press: Cleveland, 1988; pp 13-23.

ketone 10, which rearranged upon acid hydrolysis¹⁴ to the desired reductone 11.



Several comments should be made regarding the formation of epoxy ether 10. Firstly, enol ether epoxides are generally hard to generate with *m*-CPBA since they undergo facile nucleophilic attack at the ether carbon by the acid byproduct.¹⁵ In the present case, however, the ether carbon is α to a carbonyl and, hence, carbonium ion formation is somewhat inhibited. We also note that the *m*-CPBA epoxidation of **9** is presumably electrophilic since oxirane formation is observed only at the enol ether linkage. For related reasons, the epoxidation of enones with *m*-CPBA is rare, though several other cases are known in the literature¹⁶ which also involve enones substituted with electron-donating groups.

As outlined in eq 15, the hydrolysis of 10 presumably involves the intermediacy of α -keto hemiacetal 12 and hydroxy dione 13, the tautomer of reductone 11 (cf. eq 2, structure 5).



In this regard, we note that while epoxy ketone 10 can be recrystallized from absolute ethanol, heating it briefly (<1 min) in acidic ethanol results in the immediate formation of α -keto ketal 14 (eq 16). Ketal 14 is surprisingly stable to aqueous acid hydrolysis¹⁴ and does not generate reductone 11.

The assignment of the hydroxyl group in keto ketal 14 to C-5 (rather than to C-6 as in the isomeric hemiacetal 15) is firmly based on the observed 4.5 Hz coupling



between hydroxy proton and the geminal H-5. This regiospecificity combined with the formation of only a *single* epimer suggests an attack of ethanol exclusively at C-6 of protonated epoxide 10, presumably from the side opposite the epoxide linkage, leading to 14 (rather than epimer 16). In such a reaction, the carbonium ion developing at C-6 (α to the C-1 carbonyl) is stabilized both by the methoxy group, as well as by partial bridging of the epoxide oxygen. The C-4 gem-diphenyl group is undoubtedly playing a directing role in this process; indeed, this bulky functional group has been shown^{5g} to completely prevent the approach of nucleophiles or electrophiles to the vicinal C-5 carbon. NOE experiments on 14 were inconclusive.



2. Superoxide Reaction of Reductone 11. The reaction of 11 with superoxide (1:1:1 ratio of subtrate: 18-crown-6:KO₂) in toluene (0.56 cp),^{11b} was complete within 1 h and quenched with CH_3I .^{12b} Six major products 17-22 (eq 18) were generated, along with several unidentified minor products. Of the six, 3-phenylcinnamaldehyde (20),¹⁷ methyl 3-phenylcinnamate (21),¹⁸ and benzophenone (22, Aldrich) are all known. The remaining products were identified by their spectral data. We note that 18 could not be isolated pure (see Experimental Section); hence, its identification should be considered tentative.



As noted above, alkyl- and arylcarbonyl enediols [1 (\mathbb{R}^1 and \mathbb{R}^2 = alkyl or aryl); eq 2] generally autoxidize rapidly under ambient conditions and must be stored under argon at low temperatures. Indeed, we have found that there is substantial autoxidation of 11 after standing in

^{(12) (}a) Newman, M. S. An Advanced Organic Laboratory Course; Macmillan: New York, 1972; p 181. (b) Superoxide reactions can be quenched with either aqueous acid or methyl iodide. A desirable side effect of the latter method is that many of the oxy-anions present are methylated, converting, for example, enolates to enol methyl ethers and carboxylates to methyl esters. Alcoholates are generally unaffected and phenolates give varying results. See refs 4d (section V. B.5, p.895 therein) and 6b.

⁽¹³⁾ Matoba, K.; Karibe, N.; Yamazaki, T. Chem. Pharm. Bull. 1984, 32, 2639-2645.

^{(14) (}a) Schank, K.; Felzmann, J. H.; Kratzsch, M. Chem. Ber. 1969, 102, 388–394. (b) Cf. Langin-Lanteri, M. T.; Huet, J. Synthesis 1976, 541–543.

^{(15) (}a) Frimer, A. A. Synthesis 1977, 578-579. (b) Frimer, A. A. J. Chem. Soc., Chem. Commun. 1977, 205-206; corrigendum, Ibid., p 828.

^{(16) (}a) Swern, D. Organic Reactions; Wiley: New York, 1953; Vol.
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Scheme 1. Product Formation in the Superoxide-Mediated Oxidation of Reductone 17



air for 24 h at room temperature; however, it is negligible for the first hour or so. By contrast, Table 1 reveals that the room temperature superoxide-mediated oxidation of reductone 11 is essentially complete after 15 min, with ester 19 being the major product. The rate of the reaction can be slowed by lowering the reaction temperature to 4 °C, but the product distribution remains essentially the same. Finally, multiple/secondary oxidations can be inhibited by reducing the oxygen concentration, accomplished by carrying out the reaction under argon (after thoroughly degassing the reaction mixture by six freeze-thaw cycles). Under such conditions the only source of oxygen is that generated in the substrate induced disproportionation of superoxide (eq 19-21).⁴ As Table 1 reveals, under such conditions hydroxy dione 17 becomes the predominant product.

$$O_2^{-\bullet} + SH \rightarrow HO_2^{\bullet} + S^-$$
(19)

$$HO_2^{\bullet} + O_2^{-\bullet} \rightarrow HO_2^{-} + O_2$$
 (20)

$$O_2 + S^- \rightarrow SO_2^{-\bullet} \tag{21}$$

This latter result is significant since it supplies our first clue as to the initial stages of the reaction mechanism. Hydroxy dione 17 is undoubtedly the C-methylation product of monoanion 23, formed by the deprotonation of reductone 11. Under conditions in which secondary oxidations are substantially inhibited the initially formed monoanion of reductone 11 accumulates and is trapped by CH₃I. These results correspond well with Afanas'ev's suggestion¹⁰ that O_2^{--} reacts with reductones primarily, if not solely, as a base.

On the basis of this assumption, we have drawn up a possible mechanism for the production of 17-22, outlined in Scheme 1. Initial deprotonation of reductone 11 results in the formation of reductone monoanion 23 (trapped by CH₃I as 17) which undergoes further oxidation by O_2 or HOO[•] (eq 9 or 11) to triketone 24. Of the three carbonyls, it is the *central* C-2 carbonyl which is well known to be the most electrophilic.¹⁹ $O_2^{\bullet-}$ attack at this site would generate 1,3-dioxo 2-peroxy anion 25, which can *a priori* cyclize into either of the flanking carbonyls. Considering, however, that carbonyl adjacent

Table 1. Product Distribution in the Reaction of Reductone 11 with KO₂

reaction conditions ^a	conversion (%)	product yield (%) ^b						
		17	18	19	20	21	22	
KO ₂ , 15 min	100	3.5	1	66	6	5	0.5	
KO ₂ , 1 h, 4 °C	65	3	3	71.5	9	6	0.5	
KO ₂ , 1 h, Ar	100	47	14.5	20	9	4.5	0.5	

^a The reactions were carried out at room temperature, under dry air (unless argon is indicated), in dry toluene with a "reactants ratio" (substrate:crown:KX) of 1:1:1 followed by a $CH_{3}I$ workup. ^b The yields were determined from the ¹H NMR spectrum of the product mixture and are based on the amount of starting material that reacted.

to the gem-diphenyl moiety is highly sterically blocked,^{5g} cyclization into the C-1 carbonyl would clearly be preferred. The latter process would yield a dioxetane which cleaves to dicarboxylate 26. Examining the latter, we notice that the carboxylate closer to the gem-diphenyl moiety is an α -keto acid, and as such can undergo a well precedented⁴ oxidative cleavage to the corresponding acid, trapped as diester 18. In addition, the α -keto acid is doubly activated toward tandem decarbonylationdecarboxylation by the β -double bond and the two aryl groups on the carbon α to the carbonyl moiety.²⁰ The resulting dienolate 28 (trapped by CH₃I as 19) can be oxygenated either at the α (path c) or γ (path d) carbons, generating peroxy carbonyls 29 and 30, respectively. Oxidative cleavage ultimately leads to products 20-22.21 Indeed, saponification of ester 19 with superoxide under the reaction conditions, followed by CH₃I workup, generates these very products.

^{(19) (}a) Rubin, M. Chem. Rev. 1975, 75, 177-202. (b) Schonberg, A.; Singer, E. Tetrahedron 1978, 34, 1285-1300.

^{(20) (}a) March, J. Advanced Organic Chemistry-Reactions, Mechanisms and Structure, 3rd ed.; McGraw-Hill: New York, 1985; Table 1, pp 562-565 and references cited therein. (b) A similar doubly-activated decarboxylation leading to dienolate **28** (and ultimately to **20** and **21**) has been suggested by Frimer and co-workers in the base-catalyzed autoxidation of 6,6-diphenyl-2-cyclohexen-1-one.^{5g} In that system, **19** was not observed and the yield of **20** was substantially lower. However, this is presumably due to the much larger reactants ratio of 4:2:1 (*vs* our 1:1:1) which facilitates secondary oxidation.

⁽²¹⁾ The oxygenation of enolates to α -peroxy carbonyls followed by oxidative cleavage is a well precedented process.^{4d} It has also been observed under basic conditions when the carbonyl group is part of an carboxylate moiety.^{21a-c} α -Keto acids also undergo oxidative cleavage with alkaline hydrogen peroxide; here too an α -peroxy carboxylate is the putative intermediate.^{21d} (a) Jefford, C. W., Cadby, P. A. Helv. Chim. Acta **1979**, 62, 1866–1871. (b) Konen, D. A.; Silbert, L. S.; Pfeffer, P. E. J. Org. Chem. **1975**, 40, 3253–3258. (c) Adam, W.; Cuetto, O. Rebello, H. Angew. Chem., Int. Ed. Engl. **1982**, 21, 75. (d) Bunton, C. A. In Peroxide Reaction Mechanisms; Edwards, J. O., Ed.; Wiley Interscience: New York, 1961; p 16.

B. Synthesis and Reaction of 3,4-Dihydroxycoumarin (35) with Superoxide. The known coumarin reductone 35^{22} was synthesized as outlined in eq 22. Diazocoumarin 32, prepared²³ from tosyl azide²⁴ and 4-hydroxycoumarin (31, Aldrich), was reacted with *tert*butyl hypochlorite²⁵ in formic acid yielding chloroformoylcoumarin 33. The latter was reduced with sodium iodide/metabisulfite to formyl ester 34, which yielded the desired reductone 35 upon hydrolysis.²²



The ¹³C NMR spectrum of the reductone shows only a *single* carbonyl absorption at 160.82 ppm. Thus, the carbonyl group must be part of a lactone moiety (ruling out chromone **36** and analogous systems; eq 23), and there can only be one carbonyl in the system (excluding hydroxy dioxo analogs **37** and **38**). The coumarin reductone, thus exists predominantly (if not exclusively) as dihydroxy lactone tautomer **35**.



Reductone **35** reacts rapidly (<10 min) with $O_2^{\bullet-}$ (1: 1:1 ratio of subtrate:18-crown-6:KO₂) in THF (0.46 cp).^{11c} Upon CH₃I workup,^{12b} the reaction mixture gave six products which were identified by their spectral data as **39-44** (eq 24).

Table 2: Product Distribution in the Reaction of 3,4-Dihydroxycoumarin 35 with KO₂, KOH, and *t*-BuOK

reaction conditions ^a	conversion (%)	product yield (%) ^b							
		39	40	41	42	43	44		
KO ₂ , 10 min.	100	3.5	3.5	3	36	13.5	0.5		
KO_2 , 1 h	100	2.5	7.5	4	44	18	5		
KO ₂ , 1 h, Ar	49	21	21	12	6	27.5	1		
KOH, 1 h	90	15.5	7	10	38	13	-		
KOH, 4 h	100	с	-	_	56	38	-		
-BuOK, 0.5-2 h	67	12.5	4	10	60	2	1.5		

^a The reactions were carried out at room temperature, under dry air (unless argon is indicated), in dry THF with a "reactants ratio" (substrate:crown:KX) of 1:1:1 followed by a $CH_{3}I$ workup. ^b The yields were determined from the ¹H NMR spectrum of the product mixture and are based on the amount of starting material that reacted. ^c A blank space indicates that the product's presence was not detected.



Of the six products, 3-hydroxy-2-methoxycoumarin (39),^{26,27} 2-hydroxy-2-methyl-3-coumaranone (41),²⁸ and methyl (o-hydroxyphenyl)glyoxylate $(42)^{29}$ have been previously reported, while methyl salicylate (43) and catechol (44) are commercially available (Aldrich). 2-Carbomethoxy-2-hydroxy-3-coumaranone (40) was identified by its spectral data, which closely resembles that of methyl analog 41. Regarding lactol 41, Drewer and co-workers²⁸ report that in CDCl₃, the ¹H NMR reveals the presence of ca. 10% of the open diketo form 45 (eq 25). In our hands, however, both the ¹H and ¹³C NMR spectra taken in acetone- d_6 show only the presence of lactol.



As seen from Table 2, essentially the same product distribution is obtained when the oxidation is mediated by other bases (hydroxide and *tert*-butoxide) and suggests that in the case of superoxide too we are observing a base catalyzed autoxidative (BCA) process.^{4d,30} This sugges-

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(b) Blattner, R.; Ph.D. Thesis, University of Saarlande, 1980. (c) Schank, K. Personal communication (Aug. 29, 1984). We thank Prof. Schank for sending us photocopies of the NMR and IR spectra of compound 33 and 35 prepared by Dr. Blattner.
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Chem. 1967, 15, 185-206. (d) Russell, G. A.; Bemis, A. G.; Geels, E. J.; Janzen, E. G.; Moye, A. J. Adv. Chem. Ser. 1968, 75, 174-215. (e)
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Scheme 2. Mechanism of Product Formation in the BCA of Coumarin Reductone 35



tion is confirmed by the observation that, when the superoxide reaction is carried out under argon (after thoroughly degassing the reaction mixture by six freezethaw cycles), there is an accumulation of substantial amounts (>20%) of enol ether **39**, even after reaction times of 1 h. Enol ether 39 is undoubtedly the CH_3I trapping product of the reductone monoanion (see Scheme 2 and eq 26). Considering that both the C-3 and C-4 hydroxyl groups are enolic, deprotonation of either is possible. However, as the enol of a 1,3-dione, the C-4 hydroxyl group is expected to be more acidic. It is somewhat surprising, therefore, that alkylation occurs exclusively at O-3 to give 39, rather than at O-4 to yield 58. Nevertheless, O-3 alkylation and acylation are well precedented for aci-reductones in general,³¹ and have already been reported for coumarin reductone 35.26 In any case, the formation of appreciable amounts of the reductone monoanion is quite significant. Assuming that the active species in this KO₂/crown/THF system is indeed $O_2^{\bullet-}$, these results correspond to Afanas'ev's suggestion¹⁰ that $O_2^{\bullet-}$ reacts with reductones primarily, if not solely, as a base.



The above argon experiment also indicates that the formation of phenylglyoxylate 42 is highly dependent on

the availability of oxygen, but this is not the case for salicylate 43. Hence, it is unlikely that the key precursor of 42 is along the route to 43. That two essentially separate product pathways are involved is also confirmed by the KOH mediated results. At longer reaction times and higher conversion, products 42 and 43 increase primarily at the expense of unreacted starting material and products 39-41, rather than each other.

A possible mechanism for the production of 39-44 is outlined in Scheme 2 and as noted above assumes that overall we are observing a BCA process^{4d,30} mediated by superoxide, hydroxide, or tert-butoxide. For reasons cited above, this mechanism invokes the initial formation of reductone monoanion 46 (trapped by CH_3I as enol ether **39**) which undergoes further oxidation by O_2 or HOO[•] (eq. 9 or 11) to coumarin triketone 47. As noted above in the case of polycarbonyl 24, triketones in general are well known¹⁹ to undergo base attack at the electrophilic central carbon of the latter (path a). In the present system this is followed by a benzylic acid rearrangement, which generates carboxylate 49 (capped by CH₃I as ester 40). As an α -keto acid, 49 is expected to undergo facile decarboxylation to enolate 50 (isolated after CH₃I treatment as lactol 41), which upon oxygenation generates peroxy lactol 51. The corresponding open form of this peroxy lactol is α -keto percarboxylate 52, which yields the related ester 42 upon methyl iodide treatment.³² Returning again to coumarin triketone 47, base attack at the benzylic carbonyl (path b) followed by unzipping and loss of 2 moles of carbon monoxide yields salicylate 54, which upon methylation generates ester 43. Oxygenation of **31** ultimately generates catechol **44**.

Three brief comments are called for at this juncture. We turn first to the facile benzylic acid rearrangement of tricarbonyl 47 (outlined in Scheme 2), a transformation which is totally absent in the corresponding superoxide reaction of dehydroascorbic acid derivatives (of the type **A**, eq 1).³³ The reason seems clear. In the case of 47, such a contraction-rearrangement leads to the formation

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and references cited therein. (b) Eistert, B. In Methoden der Organischen Chemie (Houben-Weyl)-Analytische Methoden; Muller, E., Ed.; Georg Thieme: Stuttgart, 1953; Vol. II, pp 394-401, p 397. (c) Tolbert, B. M.; Downing, M., Carlson, R. W., Knight, M. K.; Baker, E. M. Ann. N. Y. Acad. Sci. 1975, 258, 48-69. (d) Nomura, H.; Sugimoto, K. Chem. Pharm. Bull. 1966, 14, 1039-1044. (e) See also ref 13c supra. These authors suggest the involvement of the dianion of ascorbic acid.

⁽³²⁾ The peroxy anion is presumably reduced to the corresponding alkoxide by iodide formed upon reaction of superoxide with CH₃I. See: (a) Kornblum, N. Angew. Chem. Int. Ed. Engl. **1975**, *14*, 734-745. (b) Levonowich, P. F.; Tannenbaum, H. P.; Dougherty, H. C. J. Chem. Soc., Chem. Commun. **1975**, 597-598.

of a five-membered ring; by contrast, a more highly strained four-membered analog would be required in the corresponding case of the five-membered dehydroascorbic acid and its derivatives, thus inhibiting this mechanistic route. Our preference for a benzylic acid rearrangement of triketone 47 rather than a saponification-recyclization sequence (eq 27),^{6b} is based on our inability to trap the intermediate α,β -diketo acid **59**, in sharp contrast to the case of the corresponding α -keto acid **53** (see Scheme 2).



Our second remark relates to the formation of salicylate 54. This o-hydroxy carboxylate could well result, at least in part, from the oxidative cleavage of α -peroxy carbonyl compounds 51 or 52 via dioxetanone 55.34Nevertheless, we have not suggested this as the primary mechanistic route to 43 since, as already mentioned above, the available evidence suggests that the pathways leading to 42 and 43 are essentially independent.

Finally, when the BCA of coumarin reductone 35 is mediated by tert-butoxide, one might well ask why no tert-butyl esters of 49 or 54 are observed. The answer seems to be that under these strongly basic conditions, such t-BOC esters presumably undergo E_2 -elimination generating the corresponding carboxylates and isobutene (eq 28). Such processes have been previously reported.66,35,36



C. Synthesis and Reaction of 3,4-Dihydroxyspiro-[5.5] undec-3-en-2-one (64) with Superoxide. The known spiroreductone 64^{22,37} was synthesized as outlined in eq 29. Cyclohexenylacetone 6138 was converted to spiro- β -diketone 62,³⁹ which upon reaction with from tosyl azide²⁴ generates diazo 1,3-dione 63.^{23,37} Copper

Scheme 3. Product Formation in the Superoxide-Mediated Oxidation of Spiroreductone 64



mediated reductive hydrolysis³⁷ of the latter yields the desired reductone.



In contradistinction to α -lactone enediol 35, which is relatively stable under neutral ambient conditions, the a-ketone enediol 64, like a-enone enediol 11, is quite susceptible to autoxidation. In order to minimize this process, the shiny white crystals of 64 were stored at reduced temperature (-5 °C) under inert atmosphere until use. In addition, the reaction of spiroreductone 64 with an equimolar amount of O_2^{*-} (1:0.5:1 ratio of subtrate:18-crown-6:KO₂) was carried out in toluene (0.56 cp)^{11b} under argon. In this case, there are two sources of molecular oxygen (1) that resulting from the substrate catalyzed disproportionation of the superoxide (analogous to eqs 3 and 4; where AH_2 is now reductone 64); and (2) adventitious oxygen present in the reaction solvent. CH₃I workup gave a quantitative yield of four products (see Scheme 3), identified by their spectral data as diosphenols 66 (the dienol form of triketone 65; 8% yield) and 70 (the enol form of dione 71; 17%), and diesters 73 (54%) and 75 (21%). The preparation and spectral data of diosphenol 66,37,40 enol ketone 70,6c and diester 736c have been reported previously. Diosphenols 66 and 70

⁽³³⁾ See Frimer, A. A.; Gilinsky-Sharon, P. J. Org. Chem., in press.

⁽³⁴⁾ Reference 4d, p 791ff.
(35) Pedersen, C. J. J. Am. Chem. Soc. 1967, 89, 7017-7036; appendix on p 7035.

⁽³⁶⁾ Analogous thermal and acid catalyzed processes have also been observed: (a) Houlihan, F. M.; Bachman, B. J.; Wilkins, C. W., Jr.; Pryde, C. A. *Macromolecules* **1989**, *22*, 4477-4483 and references cited therein. (b) Ito, H.; Willson, C. G.; Frechet, J.M. J.; Farrall, M. J. Eichler, E. Macromolecules 1983, 16, 510-517 and references cited therein. (c) Klemm, L. H.; Antoniades, E. P.; Lind, C. D. J. Org. Chem. 1962, 27, 519-526.

⁽³⁷⁾ Eistert, B.; Bock, G.; Kosch, E.; Spalink, F. Chem. Ber. 1960, 93, 1451-1466, at 1461.

^{(38) (}a) Datta, D. K.; Bagchi, P. J. Org. Chem. 1960, 25, 932-935.
(b) Cf. Norris, G. P.; Thorpe, J. F. J. Chem. Soc. 1921, 1199.
(39) (a) Eistert, B.; Reiss, W. Chem. Ber. 1954, 87, 92-109. (b) Eistert, B.; Geiss, F. Tetrahedron 1959, 7, 1-9. Interestingly, since its synthesis more than 40 years ago, NMR spectral data for this compound has been lacking. In the experimental section of this paper we report this data, noting that β -ketone 62 is in a solvent dependent dynamic equilibrium with keto enol 76. The 62:76 ratio in CDCl₃, acetone- d_6 , and CD3OD is 9:1, 1:3, and 1:60, respectively.

^{(40) (}a) Schank, K.; Blattner, R.; Bouillon, G. Chem. Ber. 1981, 114, 1951-1957. (b) Schank, K.; Lick, C. Synthesis 1983, 392-395.

were also independently synthesized by fluoride catalyzed singlet oxygenation⁴¹ of spirodione **62** (eq 30).



A possible mechanism for the superoxide mediated oxidation of reductone **64**, analogous to that suggested for the other reductone systems discussed above, is outlined in Scheme 3. In determining the mechanism of this process, many of the puzzle pieces were known, while a few additional experiments were required to elucidate others:

(1) The isolation of **66**, corresponds well with the aforementioned oxidation of aci-reductones to triones (eq 2).

(2) The formation of **70** is consistent with the well precedented base catalyzed conversion of triones to diones,^{19,42} which presumably involves a base induced benzylic acid rearrangement (path a) of **67** to **68**, decarboxylation of the generated β -keto acid **68**, and facile oxidation of the resulting enediol. Indeed, when **66** is reacted with O₂^{•-} at 0-5 °C (rather than at room temperature to slow down the reaction) three products are observed: **70** (5% yield), **73** (30%), and **75** (60%).

(3) Diester 73 has already been reported^{6c} to be the primary product from $O_2^{\bullet-}$ mediated oxidative cleavage of 70 (or its tautomer diketone 71). By the same token, the above mentioned reaction of 66 with $O_2^{\bullet-}$ makes it clear that 75 is the direct (i.e., not involving path a; see section 2) oxidative cleavage product of 66 (or its tautomer triketone 65). Presumably, this involves 2-peroxy-1,3-dicarbonyl intermediate 67, as outlined in path b.

(4) In light of the above, the formation of diesters 73 and 75 as the major products, led us to suspect that the primary products are actually 66 and 70, which in the presence of even small amounts of oxygen undergo successive oxidation. Hence, we repeated the reaction of spiroreductone 64 with an equimolar amount of $O_2^{\bullet,-}$, but this time oxygen was scrupulously removed from the reactants and solvent prior to reaction (by six freeze-thaw cycles). In the latter instance, diosphenols 66 and 70 were formed in a 90% yield and in a 2:1 ratio. These results suggest that in the presence of even small amounts of oxygen, various autoxidative processes can come into effect, in which molecular oxygen and/or bases other than $O_2^{\bullet,-}$ may be playing assorted roles.

Conclusion

In this paper, we have explored three distinct acireductone systems: α -enone enediol 11, α -lactone enediol 35, and α -ketone enediol 64. While the exact course of reaction and product distribution is highly dependent on the structure of the starting dihydroxy enone, all three reaction mechanisms share several important features in common. Firstly, the evidence in all three systems strongly supports the suggestion of Afanas'ev¹⁰ that, at least in solvents less viscous than toluene (0.56 cp),^{11b} the first step in the reaction of superoxide with reductones is a facile deprotonation; in other words, O₂^{•-} reacts with reductones primarily, if not solely, as a base. The next major product along the reaction pathway following the formation of reductone monoanion is the corresponding triketone. Of the various options available to this polyketone, superoxide attack at the central carbonyl followed by oxidative cleavage and/or benzylic acid rearrangement are clearly the most prominent. These are followed by a variety of base catalyzed autoxidative processes which are highly dependent on the nature of the substrate.

Experimental Section

¹H NMR and ¹³C NMR (75 MHz) spectra were generally obtained on 200 or 300 MHz Fourier transform spectrometers. Assignments (see supporting information) were facilitated by correlating proton and carbon chemical shifts through analysis of residual couplings in off-resonance decoupled spectra. In all cases, TMS served as the internal standard. The spectral data of known compounds are not given unless they are missing from the literature (most common for ¹³C NMR data), in error, or otherwise substantially lacking. High resolution mass spectra (HRMS) were performed on a VG-Fison AutoSpecE high resolution spectrometer. Preparative thin layer chromatography (TLC) was carried out on Merck silica gel F254 precoated plates, while analytical runs were performed using Riedel-De Haen microcards. The retention times given are based on the analytical runs. Gas chromatograms⁴³ were obtained using a Varian Aerograph Model 920 preparative GLC with peak areas determined by triangulation. Potassium superoxide (Alfa Inorganics, as small chunks; or Callery, as a fine powder), tert-butoxide (Fluka), and hydroxide (Frutarom) salts were ground into fine powders in a glove bag under dry argon prior to use. 18-Crown-6 polyether (Fluka) was used as supplied (if dry and crystalline, otherwise it was recrystallized from acetonitrile)44 and stored along with the above potassium salts in a desiccator. Methyl iodide was distilled and stored at -10 °C under argon.

General Oxidation Procedure Using KO₂, KOH, and KOC(CH₃)₃. Reactant, 18-crown-6, and powdered KX [X = O₂, OH, or OC(CH₃)₃] were added in that order to sodium-dried toluene (or P₂O₅-dried THF when specified). The "reactants ratio" (i.e., the molar ratio of substrate: crown: KX) and reaction times were optimized for each substrate. Approximately 35 mL of solvent were used per mmol of substrate. The reaction mixture was stirred magnetically under dry air (unless otherwise indicated) at room temperature until TLC indicated that all the starting material had been consumed. The reaction was then quenched by one of two methods. (a) Aqueous quenching: The reaction was acidified with 10% HCl, which is quite commonly accompanied by a sudden change of color in the reaction mixture as neutrality is approached. (In reactions run in THF, 1 vol equiv of benzene

^{(41) (}a) Wasserman, H. H.; Pickett, J. E. J. Am. Chem. Soc. **1982**, 104, 4695-4696. (b) Wasserman, H. H.; Pickett, J. E. *Tetrahedron* **1985**, 41, 2155-2162 following the procedure for dimedone. (c) Frimer, A. A.; Ripstos, S.; Marks, V.; Aljadeff, G.; Hameiri-Buch, J.; Gilinsky-Sharon, P. *Tetrahedron* **1991**, 47, 8361-8372. (d) The mechanism for the formation of diosphenol **66** where $R = CH_3$ from dimedone has been described^{41a,b} and involves the intermediacy of hydroperoxide **77**. The simultaneous formation of keto enol **70** (R, $R = -(CH_2)_5$ -) in our system is unprecedented and is deserving of further study.

⁽⁴²⁾ Russell, G. A.; Blankespoor, R. L.; Trahanovsky, K. D.; Chung,
C. S. C.; Whittle, P. R.; Mattox, J.; Myers, C. L., Penny, R.; Ku, T.;
Kasugi, Y.; Givens, R. S. J. Am. Chem. Soc. 1975, 97, 1907–1914.

 $^{(43)\,(}a)$ 1.5 ft \times 0.25 in copper column packed with 10% SE-30 on Chromosorb W. (b) 8 ft \times 0.25 in copper column packed with 20% SE-30 on Chromosorb WAW.

⁽⁴⁴⁾ Gokel, G. W.; Cram, D. J.; Liotta, C. L.; Harris, H. P.; Cook, F. L. J. Org. Chem. 1974, 39, 2445-2446.

was added at this juncture prior to the aqueous washings.) The organic layer is then washed thrice with 10% NaHCO₃ to remove inorganic salts, crown ether, and acidic products. The organic phase containing the nonacidic products was dried over MgSO₄ and concentrated, and the products were then isolated. The combined NaHCO₃ extracts were acidified and extracted three times with ether. The combined ether extracts were dried and concentrated and the products isolated. (b) Methyl iodide quenching: Alternatively, excess (generally 10 mol equiv based on substrate) of CH₃I was added, which consumes the unreacted base and methylates various oxyanions in the product. The reaction mixture was generally allowed to react overnight with the CH₃I (though shorter reaction times may well have sufficed). (In reactions run in THF, a volume equivalent of benzene was added at this juncture prior to the aqueous washings.) The reaction mixture was washed with water to remove inorganic salts, methanol, crown ether, and excess methyl iodide. The organic layer was then dried and concentrated, and the products were isolated.

General Procedure for the Rigorous Exclusion of Atmospheric Oxygen: Reactions carried out with the rigorous exclusion of atmospheric oxygen were performed using a bulb-to-bulb distillation apparatus [an inverted flattened U-tube, fitted at its ends with two male joints and at its center with a gas inlet] as the reactor. The gas inlet was connected to a three-way stopcock, permitting alternating connection of the apparatus to a vacuum pump or argon cylinder. One round bottom flask, fitted with a septum-capped opening for syringe sampling, was charged with crown ether, solvent, and a magnetic stirrer, and the other with reductone dissolved in the reaction solvent. The contents of both reactants flasks were frozen in liquid N_2 , the system was evacuated, and the reaction flasks were allowed to warm to room temperature. This freeze-thaw cycle was repeated a total of six times, at which time the reductone solution was added to the KO₂/crown ether solution. The system was filled with argon and maintained under a positive argon pressure while the reaction was allowed to proceed for a designated period, during which time the reaction was followed by TLC. The reaction was then quenched and worked-up as usual.

5.6-Epoxy-4,4-diphenyl-6-methoxy-2-cyclohexen-1one (10). The title compound was prepared by reacting methoxy dienone 9^{5g} with a 4-fold excess of *m*-CPBA at room temperature for 1 week according to the procedure of Matoba.¹³ Following workup,45 the product was isolated in 40% yield by preparative TLC eluting with 15% acetone in hexane. Recrystallization from absolute ethanol yielded white crystals of the epoxide. If the ethanol is slightly acidic, the epoxide hydrolyzes within minutes to a-keto ketal 14. The numbering of 10 and 14 is shown in eqs 15 and 16, respectively.

10: mp 105-107 °C; R_f (25% acetone in hexane) 0.34; ¹H NMR (CDCl₃) δ 7.42-7.14 (overlapping m, 10H), 6.82 (dd, J = 10 Hz, J = 2 Hz, 1H), 6.09 (d, J = 10 Hz, 1H), 4.24 (d, $J_{3,5}$ = 2 Hz, 1H), 3.62 (s, 3H); ¹³C NMR (CDCl₃) δ 190.50 (C-1), 149.79, 141.61, 141.10, 129.15, 128.80, 128.23, 127.74, 127.89, 127.74, 124.77, 82.57, 66.12, 54.46, 52.98; FTIR (KBr) 1688.66 (s, C=O) cm⁻¹; UV λ_{max} (CHCl₃, ϵ_{max}) 227 (10,520 shoulder), 244.7 (12,000) nm; HRMS calcd ($C_{19}H_{16}O_3$, M⁺) 292.1099, obsd 292.1097; MS (EI, 70 eV) m/e 292 (M⁺, 4.09%), 263 (M – HCO, 3.03%), 233 (M - OCH₃ - CO, 100%), 205 (M - C(O)(CO)-OCH₃, 28.09%), 191 (CH(O)C(CO)OCH₃, 16.74%).

14: mp 145-146 °C; R_f (25% acetone in hexane) 0.31; ¹H NMR (acetone- d_6) δ 7.51 (dd, J = 11 Hz, J = 1.5 Hz, 1H) 7.46-7.38 (m, 4H), 7.31-7.21 (m, 4H), 7.19-7.12 (m, 2H), 6.19 (d, J = 11 Hz, 1H), 5.19 (dd, J = 4.5 Hz, J = 1.5 Hz, 1H), 4.15 (d, $J_{5,OH} = 4.5$ Hz, 1H), 3.35 and 3.24 (ABq of q [ABX₃], J = 10 $H_z, J = 7 H_z, 2H$, 3.19 (s, 3H), 0.74 (t, $J = 7 H_z, 3H$); ¹H NMR (CDCl₃) δ 7.4–7.2 (11H), 6.30, 5.05, 3.52, 3.36, 3.20, 2.35 (OH), 0.9; ¹³C NMR (CDCl₃) & 192.73, 152.49, 144.67, 142.71, 129.41, 128.08, 127.99, 127.80, 126.86, 126.46, 126.70, 97.72, 73.04, 59.31, 55.27, 49.20, 14.78; FTIR (KBr) 3473.45 (bs, OH), 1697.06 (s, C=O), 1623.69 (s, C=O) cm⁻¹; UV λ_{max} (CHCl₃, ϵ_{max})

242 (13,010), 193.2 (11,420) nm; HRMS calcd (C₂₁H₂₂O₄, M⁺) 338.1518, obsd 338.1497; MS (EI, 70 ev) 338 (M⁺, 10.29%), 220 $(M - 118, 66.47\%), 118 (CH(OH)C(OCH_3)OCH_2CH_3, 100\%).$

2,3-Dihydroxy-4,4-diphenyl-2,5-cyclohexadien-1-one (11): Reductone 11 was prepared according to the procedure of Schank.²² Epoxide 10 was dissolved in dioxane and an equal volume portion of 1 N HCl was added. The reaction solution immediately turned yellow (reductone) and after 1 h, TLC revealed that all of the substrate had reacted. Water and diethyl ether were then added to the reaction flask, the phases were separated, and the aqueous phase was extracted thrice with ether. The ether extracts were combined with the organic phase, washed once with water, and dried over MgSO₄. Filtration and evaporation of the solvent gave the desired reductone in 95% yield. Two experimental comments should be noted: (1) The $MgSO_4$ was allowed to remain in the ether phase for only a short time since it seems to complex with the reductone; if contact time is too long, the yield will be substantially diminished. (2) Dioxane also tends to complex well with the product. In order to remove all traces of this solvent, the product was dissolved in a small amount of ether and evaporated under vacuum (0.1 torr) to dryness. This procedure was repeated 2-3 times more. Attempts to recrystallize the reductone were unsuccessful.

11: R_f (25% acetone in hexane) 0.12; ¹H NMR (CDCl₃) δ 7.38-7.23 (overlapping m, 10 H), 6.90 (d, J = 10 Hz, 1H), 6.32 (d, J = 10 Hz, 1H); ¹³C NMR (CDCl₃) δ 148.83, 140.30, 131.59, 128.79, 128.48, 127.67, 122.53, 57.94 (2C were not detected in this solvent; ¹³C NMR (acetone- d_6 , -40 °C) δ 181.52, 152.58, 149.83, 141.89, 132.83, 129.47, 128.90, 127.98, 124.24, 57.38; HRMS calcd $(C_{18}H_{14}O_3, M^+)$ 278.0943, obsd 278.0946; MS (EI, 70 eV) 278 (M⁺, 78.30%), 260 (M - H₂O, 11.50%), 220 (M -HOCCOH, 65.02%), 191 (M - HOCCOH - CO - H, 33.65%), 105 (PhCO [from rearrangement], 100%).

Reaction of Cyclohexenone Reductone (11) with KO₂. Reductone 11 was reacted with O2." in toluene according to the "General Oxidation Procedure" in a "reactants ratio" of 1:1: 1, quenched with excess of CH₃I, and worked up as usual. The reaction was followed by TLC (15% acetone in hexane) and was essentially complete after about 0.25 h, but was generally quenched only after 1 h. The product mixture contained six major components, products 17-22, along with several unidentified minor products, which were separated by silica column chromatography and identified by their spectral data. The product yields are found in Table 2. If the separation of the fractions was not complete, products were further purified by preparative TLC eluting with 10% acetone in hexane. Of the six products, 3-phenylcinnamaldehyde (20), ¹⁸ methyl 3-phenylcinnamate (21),¹⁹ and benzophenone (22, Aldrich) are all known. The remaining products 2-hydroxy-2-methyl-4,4diphenyl-5-cyclohexene-1,3-dione (17), dimethyl 4,4-diphenylglutaconate (18), and methyl 4,4-diphenyl-3-butenoate (19)41c were identified by their spectral data. We note that 18 could not be isolated pure; hence, its identification should be considered tentative.

The above reaction was repeated at 4 °C and one further time at room temperature with the rigorous exclusion of atmospheric oxygen (as described in the general procedure above). In the latter case, after mixing the reactants, the system was connected to argon and allowed to proceed for one more hour, during which time the reaction was followed by TLC. The reaction mixture was then quenched with CH₃I, which was syringed in through the septum cap. Workup in both cases gave the same six reaction products (see Table 1 for product distribution data).

17: R_f (15% acetone in hexane) 0.25; ¹H NMR (CDCl₃) δ 7.45 (m, 5H), 7.30 (d, J = 10 Hz, 1H), 7.28 (m, 3H), 6.81 (m, 1H), 6.47 (d, J = 10 Hz, 1H), 1.21 (s, 3H); ¹³C NMR (CDCl₃) δ 206.00, 197.27, 150.53, 140.81, 136.94, 129.39, 127.85, 128.83 128.56, 127.85, 127.75, 124.67, 85.86, 63.73, 28.80; HRMS calcd ($C_{19}H_{16}O_3$, M⁺) 292.1099, obsd 292.1097. MS (EI, 70 eV) 292 (M⁺, 13.24%), 264 (M - CO, 83.04%), 250 (M - CH₂CO, 42.25%), 221 (M - CH₃COCO, 85.51%), 193 (M - CH₃COCO - CO, 85.58%), 115 (C₇H₆CCH, 100%), 105 (C₆H₅CO, 42.35%).

18: ¹H NMR (CDCl₃) δ 7.41-7.21 (m, 10H, aromatic), 7.07

⁽⁴⁵⁾ Fieser, L. F.; Fieser, M. Reagents for Organic Synthesis; Wiley: New York, 1967; Vol. 1, p 135.

(d, J = 12 Hz, 1H), 6.60 (J = 12 Hz, 1H), 3.80 (s, 3H), 3.74 (s, 3H)3H); MS (CI, 70 eV) 311 (MH⁺, 100%), 293 (MH - H_2O , %), 279 (MH - CH₃OH, %), 249 (MH - 2CH₃O, %).

19: ¹H NMR (CDCl₃) δ 7.42–7.16 (m, 10H), 6.25 (t, J = 7.5Hz, 1H), 3.69 (s, 3H), 3.16 (d, J = 7.5 Hz, 2H); ¹³C NMR (CDCl₃) & 172.22, 144.85, 141.95, 139.30, 129.73, 128.35, 128.11, 127.39, 120.28, 51.84, 35.29; HRMS calcd (C17H16O2, M⁺) 252.1150, obsd 252.1158.

3,4-Dihydroxycoumarin (35): The known²² 3-diazo-2,4dioxocoumarin (32) was prepared²³ from tosyl azide²⁴ and 4-hydroxycoumarin (31, Aldrich). Noteworthy is the chemical shift of the C-3 carbon attached to the diazo group which appears at 76.3 ppm. For the purpose of comparison the central carbon of diphenyldiazomethane resonates at 62.5 ppm.⁴⁶ Diazocoumarin 32 was reacted with tert-butyl hypochlorite²⁵ in formic acid yielding chloroformoylcoumarin 33. The latter was reduced with sodium iodide/metabisulfite to 2-hydroxy-3-formoylcoumarin (34).22 The NMR data makes it clear that the latter actually exists in its enol form and not as the 3-formoyl-2,4-diketo tautomer. Hydrolysis of the latter yielded the desired reductone 35.22 Only limited physical (mp) and spectral data (IR, UV) are available in the literature²² for each of these compounds; the NMR absorptions are therefore given below.

32: $R_f = 0.35 (35\% \text{ acetone in hexane}); {}^{1}\text{H NMR} (\text{CDCl}_3) \delta$ 8.04 (ddd, J = 8 Hz, J = 2 Hz, J = 0.5 Hz, 1H), 7.67 (ddd, J $= 8.5 \text{ Hz}, J = 7.5 \text{ Hz}, J = 2 \text{ Hz}, 1 \text{H}), 7.35 \text{ (ddd}, J = 8 \text{ Hz}, J = 100 \text{$ 7.5 Hz, J = 1 Hz, 1H, 7.28 (ddd, J = 8.5 Hz, J = 1 Hz, J = 0.5Hz, 1H); ¹³C NMR (CDCl₃) δ 173.89, 157.86, 153.84, 136.03, 125.83, 125.18, 119.04, 117.89, 76.3; MS (EI, 70 eV) m/e 188 $(M^+,\,65\%),\,160~(M\,-\,N_2,\,2\%),\,120~(M\,-\,CN_2\,-\,CO,\,16\%),\,104$ $(M - CN_2 - CO_2, 67\%), 92 (M - CN_2 - CO - H_2O, 12\%), 76$ $(M - CN_2 - CO_2 - H_2O, 100\%).$

34: $R_f = 0.52$ (35% acetone in hexane); ¹H NMR (acetone d_6) δ 8.34 (s, 1H), 7.95 (ddd, J = 8 Hz, J = 2 Hz, J = 0.5 Hz, 1H), 7.69 (ddd, J = 8.5 Hz, J = 7.5 Hz, J = 2 Hz, 1H), 7.44 (ddd, J = 8 Hz, J = 7.5 Hz, J = 1 Hz, 1H), 7.41 (ddd, J = 8.5)Hz, J = 1 Hz, J = 0.5 Hz, 1H); ¹³C NMR (CD₃OD) δ 174.70, 163.15, 162.87, 150.38, 130.31, 125.28, 124.09, 123.45, 118.82, 116.91; MS (CI, 70 eV) m/e 207 (MH⁺, 4%), 179 (MH⁺ - CO, 100%)

35: $R_f = 0.42$ (35% acetone in hexane); ¹H NMR (acetone d_{6}) δ 7.82 (ddd, J = 8 Hz, J = 2 Hz, J = 0.5 Hz, 1H), 7.50 (ddd, J = 8.5 Hz, J = 7.5 Hz, J = 2 Hz, 1H), 7.36 (ddd, J = 8)Hz, J = 7.5 Hz, J = 1 Hz, 1H), 7.31 (ddd, J = 8.5 Hz, J = 1Hz, J = 0.5 Hz, 1H); ¹³C NMR (acetone d₆) δ 160.82, 149.88, 145.10, 130.03, 125.00, 123.88, 123.00, 118.18, 116.74; MS (CI, 70 eV) m/e 179 (MH⁺, 100%), 121 (MH⁺ - C₂H₂O₂, 100%).

Reaction of Coumarin Reductone (35) with KO₂, KOH, and KOBu-t. - Reductone 35 was reacted for varying times (ranging from 5 min up to 1 h) with O_2^{-} in THF according to the "General Oxidation Procedure" in a "reactants ratio" of 1:1: 1, quenched with excess of CH₃I, and worked up as usual. The reaction was followed by TLC (petroleum ether:acetone:HOAc 85:15:1) and the product mixture in each case contained six components, products 39-44 ($R_f = 0.36, 0.23; 0.27, 0.49, 0.71$, 0.95, respectively), which were separated by silica column chromatography and identified by their spectral data. The product yields for reaction times of 10 min and 1 h are found in Table 2. Products 39-41 were eluted using a solvent mixture of petroleum ether, acetone, and acetic acid in a 90: 10:1 ratio. The solvent ratio was changed to 85:15:2 to elute 42 and 43 and once again to 80:20:3 to elute catechol 44. Of the six products, salicylate 43 and catechol 44 are commercially available (Aldrich), while 3-methoxycoumarin **39**,^{26,27} 3-coumaranone 41,²⁸ and phenylglyoxylate 42^{29} have been previously reported. In the case of the latter three, some NMR data is lacking in the literature references and is supplied below, with the carbon numbering as given in eq 24. Coumaranone 40 was identified by its spectral data, which closely

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resembles that of methyl analog 41. Both 40 and 41 exist in acetone- d_6 exclusively as lactols.

The above reaction was repeated with the rigorous exclusion of atmospheric oxygen as described in the general procedure above. After mixing the reactants, the system was connected to argon and allowed to proceed for one more hour, during which time the reaction was followed by TLC. The reaction mixture was then quenched with CH₃I, which was syringed in through the septum cap. Workup gave the same six reaction products (see Table 2 for conversion and product distribution data).

The reaction was repeated again with KOH (at room temperature, for up to 4 h) and with KOBu-t (at -68 ± 1 °C for up to 2 h) replacing KO₂ (see Table 2 for conversion and product distribution data).

39: ¹H NMR (acetone- d_6) δ 7.76 (ddd, J = 8 Hz, J = 2 Hz, J = 0.5 Hz, 1H), 7.49 (ddd, J = 8 Hz, J = 7 Hz, J = 2 Hz, 1H), 7.34 (ddd, J = 8 Hz, J = 7 Hz, J = 1 Hz, 1H), 7.32 (ddd, J = 18 Hz, J = 1 Hz, J = 0.5 Hz, 1H, 4.3 (s, 3H); ¹³C NMR (acetone d_6) δ 162.00, 148.95, 144.68, 129.91, 127.51, 125.24, 123.23, 119.43, 116.65, 60.08; MS (CI, 70 eV) m/e 193 (MH+, 100%).

40: ¹H NMR (acetone- d_6) δ 7.80 (ddd, $J_{6.7} = 8.5$ Hz, $J_{5.6} =$ 7.5 Hz, $J_{4,6} = 1$ Hz, 1H, H-6), 7.69 (ddd, $J_{4,5} = 8$ Hz, $J_{4,6} = 1$ Hz, $J_{4,7} = 0.5$ Hz, 1H, H-4), 7.12 (ddd, $J_{4,5} = 8$ Hz, $J_{5,6} = 7$ Hz, $J_{5,7} = 1.5$ Hz, 1H, H-5), 7.09 (ddd, $J_{6,7} = 8.5$ Hz, $J_{5,7} = 1.5$ Hz, $J_{5,7} = 1.5$ Hz, 1H, H-5), 7.09 (ddd, $J_{6,7} = 8.5$ Hz, $J_{5,7} = 1.5$ Hz, J1.5 Hz, $J_{4,7} = 0.5$ Hz, 1H, H-7), 1.56 (s, 3H, CH₃); ¹³C NMR $(acetone-d_6) \delta 194.76 (C-3), 171.96 (C-10), 167.00 (C-8), 139.99$ (C-6), 125.60 (C-4), 123.77 (C-5), 119.63 (C-9), 114.18 (C-3), 100.10 (C-2), 53.63 (OCH₃); MS (EI, 70 eV) m/e 208 (M⁺ 22.91%), 149 (M - CO₂CH₃, 48.42%), 121 (M - CH₃OCOCO, 100%); HRMS calcd ($C_{10}H_8O_5$, M⁺) 208.0372, obsd 208.0263.

41: ¹H NMR (acetone- d_6) δ 7.72 (ddd, J = 8.5 Hz, J = 7 Hz, J = 1.5 Hz, 1H), 7.61 (ddd, J = 8 Hz, J = 1.5 Hz, J = 0.5 Hz, 1H), 7.12 (ddd, J = 8 Hz, J = 7 Hz, J = 1.5 Hz, 1H, 7.09 (ddd, J = 8.5 Hz, J = 1.5 Hz, J = 0.5 Hz, 1H), 1.56 (s, 3H); ¹³C NMR $(acetone-d_6) \delta$ 199.41, 170.78, 139.53, 125.37, 122.59, 119.82, 114.03, 104.73, 22.07; MS (CI, 70 eV) m/e 165 (MH⁺, 100%), 148 ($MH^+ - H_2O$, 32%), 121 ($MH^+ - CH_3COH$, 14%).

42: ¹H NMR (acetone- d_6) δ 10.85 (s, 1H), 7.78 (ddd, J = 7Hz, J = 2 Hz, J = 0.5 Hz, 1H), 7.65 (ddd, J = 8 Hz, J = 6.5Hz, J = 2 Hz, 1H), 7.05 (ddd, J = 8 Hz, J = 1 Hz, J = 0.5 Hz, 1H), 7.03 (ddd, J = 7 Hz, J = 6.5 Hz, J = 1 Hz, 1H), 3.99 (s, 3H); ¹³C NMR (acetone- d_6) δ 190.47, 164.54, 163.16, 138.89, 132.64, 120.42, 117.94, 113.80, 53.27; MS (CI, 70 eV) m/e 181 (MH⁺, 100%), 121 (M - CH₃OCO, 14%)

Spiro[5.5]undecane-2,4-dione (62). Spirodione 62 was prepared as described previously.³⁹ The product is slightly soluble in CHCl₃ and acetone and moderately soluble in methanol. Diketone 62 was recrystallized from CHCl₃, rather than from the suggested $^{39}\,\mathrm{CH}_3\mathrm{OH},$ because in our hands this yielded the 3-methoxy analog of 76, 4-methoxyspiro[5.5]undecan-2,4-dione.^{5g} The NMR data reveals the presence of both the 1,3-diketone 62 and the 3-hydroxy 2-en-1-one 76 tautomers. The 62:76 ratio of the tautomers is solventdependent: CHCl₃, 9:1; acetone, 1:3; and methanol, 1:60. The numbering of the carbons is as shown in eq 29. We note that, as expected, the relatively acidic C-3 methylene undergoes rapid deuterium exchange in methanol- d_4 and is therefore absent in the ¹H NMR spectra below.

62: R_f (acetone) 0.46; ¹H NMR (CDCl₃) δ 3.35 (s, 2H), 2.62 $(s, 4H), 1.50 (bs, 10H); (acetone-d_6) \delta 3.40 (s, 2H), 2.50 (s, 4H),$ 1.50 (bs, 10H); (CD₃OD) δ 2.62 (s, 4H), 1.50 (bs, 10H); IR (Nujol) 1610, 1580; MS (EI, 70 eV) m/e 180 (M⁺), 179 (M – 1), 152 (M – CO), 140 (M – C₂HO), 123 (M – C₃H₃O).

76: ¹H NMR (CDCl₃) & 5.45 (s, 1H), 2.40 (s, 4H), 1.50 (bs, 10H); (acetone- d_6) δ 5.25 (s, 1H), 2.30 (s, 4H), 1.50 (bs, 10H); (CD₃OD) δ 2.36 (s, 4H), 1.50 (bs, 10H); $^{13}\mathrm{C}$ NMR (CD₃OD) δ 103.20, 102.93 (2C), 44.96 (2C), 37.50 (2C), 36.48, 27.73, 22.73 (2C).

2-Diazospiro[5.5]undecan-2,4-dione (63): The known³⁷ diazodione **63** was prepared by the procedure of Eistert and Reiss.³⁹ The numbering of the carbons is as shown in eq 29 for the analogous spirodiketone 62.

(46) For a general discussion of the ¹³C NMR of diazoalkanes see: Kalinowski, H.-O.; Berger, S.; Braun, S. *13C NMR Spektroskopie*; Georg Thieme Verlag: Stuttgart, 1984; p 226.

63: ¹H NMR (CDCl₃) δ 2.50 (s, 4H), 1.46 (bs, 10H); ¹³C NMR $(CDCl_3) \delta$ 189.74, 174.77, 48.50, 36.16, 33.97, 25.67, 21.36.

Reaction of 3.4-Dihvdroxyspiro[5.5]undecan-3-en-4one (64) with KO₂. The known reductone (64)^{22,37} was reacted with O_2^{*-} under an argon atmosphere for 40 min. according to the "General Oxidation Procedure" in a "reactants ratio" of 1:0.5:1, followed by a CH₃I workup. The reaction was followed by TLC (25% acetone in hexane). Alternatively, reaction samples were treated with lead acetate solution; the formation of a gray precipitate indicates that unreacted reductone remains. The products were isolated by GC^{43a} (flow rate: 100 cc/min; oven: 120 °C; injector: 200 °C; detector: 220 °C). Four products were obtained with retention times of 9, 18, 27, and 51 min which were identified by their spectral data as 2-hvdroxyspiro[4.5]dec-1-en-3-one (70),6c dimethyl 1,1-cyclohexanediacetate (73),6c 2,4-dihydroxyspiro[5.5]undeca-1,4dien-3-one (66),40 and methyl a-keto-1-[(methoxycarbonyl)methyl]cyclohexane-1-propionate) (75), respectively.

The above reaction was repeated with the rigorous exclusion of atmospheric oxygen as described in the general procedure above. After mixing the reactants, the system was connected to argon and allowed to proceed for two more hours, during which time the reaction was followed by TLC. The reaction mixture was then quenched with CH_3I , which was syringed in through the septum cap. Workup gave a nearly quantitative yield of three products **66**, **70**, and **73** in a 60:30:10 ratio, respectively. The products were isolated by GC as described above.

75: ¹H NMR (CDCl₃) δ 3.86 (s, 3H), 3.61 (s, 3H), 3.05 (s, 2H), 2.57 (s, 2H), 1.65–1.40 (m, 10H); ¹³C NMR (CDCl₃) δ 21.26, 25.60, 35.67, 36.01, 40.38, 44.46, 50.98, 52.61, 161.57, 172.19, 193.04; IR (neat) 1770 (shoulder, CO) 1720 (s, CO) cm⁻¹; MS (EI, 70 eV) 256 (M⁺), 225 (M – CH₃O), 197 (M – CO₂CH₃), 155 (M – CH₂COCO₂CH₃), 123 (M – CH₂COCO₂-CH₃ – OCH₂); HRMS calcd (C₁₃H₂₀O₅, M⁺) 256.1311, obsd 256.1321.

Reaction of 2,4-Dihydroxyspiro[5.5]undeca-1,4-dien-3-one (66) and 2-hydroxyspiro[4.5]dec-1-en-3-one (70) with KO₂. The dienol (66) was reacted with O_2^{--} under an argon atmosphere for 5 h at 0-5 °C according to the "General Oxidation Procedure" in a "reactants ratio" of 1:1:1. The reaction mixture was then allowed to stand overnight in the refrigerator (5 °C) at which time TLC indicated that the starting material had disappeared. CH₃I workup yielded three products which were separated by preparative GC,^{43a} as described above, and identified as 70 (5% yield), 73 (30%), and 75 (60%). Under the same reaction conditions, diosphenol 70 yields diester 73.

Singlet Oxygenation of β -Diketone 62/Keto enol 76. The title compound was reacted with ${}^{1}O_{2}$ as previously described.^{41c} The irradiation was complete within 2 h. Compounds 66 and 70 were obtained in essentially an overall quantitative yield, in a 1:2 ratio, and isolated by GC^{43b} (flow rate: 96 cc/min; oven: 175 °C; injector: 180 °C; detector: 200 °C) at 4.5 and 6 min, respectively.

Supporting Information Available: ¹H NMR spectra of **10, 11, 14, 17, 19**, and **75**, a ¹³C NMR spectrum of **40**, and the complete ¹H and ¹³C NMR peak assignments for the compounds described in the Experimental Section (10 pages). This material is contained in libraries on microfiche, immediately follows this article in the microfilm version of the journal, and can be ordered from the ACS; see any current masthead page for ordering information.

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